



WEALTHUP

ADVISOR PROFILE

ANDRE JANSEN VAN VUUREN



WEALTHUP (PTY) LTD

REGISTRATION NUMBER 2011/122459/07

FSB NUMBER 43925

BUSINESS ADDRESS 19 KING STREET, DURBANVILLE, 7550

POSTAL ADDRESS PO BOX 2373, DURBANVILLE, 7551

TELEPHONE NUMBER 021 300 8056

WEBSITE WWW.WEALTHUP.CO.ZA

COMPLIANCE OFFICER LEROUX VAN WYK (ETUDE COMPLAINEE)



Andre Jansen van Vuuren

TITLE FINANCIAL PLANNER

QUALIFICATIONS WEALTH MANAGEMENT DIPLOMA

EXPERIENCE SINCE 2005

LEGAL CAPACITY REPRESENTATIVE

CONTACT NUMBER 021 300 8276 | 0824515266

EMAIL ADDRESS ANDRE@WEALTHUP.CO.ZA

FPI MEMBERSHIP 130933

THE COMPANY ACCEPTS RESPONSIBILITY FOR THOSE ACTIVITIES OF THE REPRESENTATIVE PERFORMANCE WITHIN THE SCOPE OF THEIR EMPLOYMENT IN TERMS OF THEIR SERVICE AGREEMENT

FAIS CATEGORIES

INSURANCE	LONG TERM INSURANCE CATEGORIES B1, B2, C
PENSION	RETAIL PENSION BENEFITS & PENSION FUND BENEFITS
SECURITIES & INSTRUMENTS	SHARES, MONEY MARKET INSTRUMENTS, DEBENTURES & SECURITISED DEBT, WARRANTS, CERTIFICATES AND OTHER INSTRUMENTS ACKNOWLEDGING DEBT, BONDS, DERIVATIVE INSTRUMENTS
UNIT TRUSTS	COLLECTIVE INVESTMENT SCHEMES
DEPOSITS	LONG TERM & SHORT TERM DEPOSITS
HEALTH SERVICES	MEDICAL AIDS & GAP COVER (SHORT TERM INSURANCE)

ACCREDITATION

WE HAVE WRITTEN MANDATES WITH THE FOLLOWING COMPANIES AND ARE ACCREDITED TO MARKET THE FOLLOWING:

COLLECTIVE INVESTMENT SCHEMES

ALLAN GRAY, NEDGROUP INVESTMENTS, MOMENTUM, GLACIER, SANLAM, CORONATION, INVESTEC, OLD MUTUAL, STANLIB, PPS INVESTMENTS, SYGNIA

LONG TERM INSURANCE

LIBERTY LIFE, BRIGHTROCK, MOMENTUM, DISCOVERY, SANLAM, FMI, HOLLARD, OLD MUTUAL, PPS

MEDICAL AID & GAP COVER

DISCOVERY HEALTH, MOMENTUM HEALTH, BONITAS HEALTH, PROFMED, STRATUM, ZESTLIFE

EMPLOYEE BENEFITS

LIBERTY CORPORATE, SANLAM UMBRELLA FUND, ALLAN GRAY UMBRELLA FUND

SECURITIES AND INSTRUMENTS

INVESTEC SECURITIES

REMUNERATION & SHAREHOLDING

IN TERMS OF SHAREHOLDING, NEITHER THE COMPANY, NOR THE INDEPENDENTS FINANCIAL ADVISOR HOLDS A SHAREHOLDING IN ANY OF THE CONTRACTED PROVIDERS.

WE HAVE EARNED MORE THAN 30% OF OUR TOTAL INCOME FROM ALLAN GRAY INVESTMENT SERVICES. WE MAKE USE OF THE ALLAN GRAY INVESTMENT PLATFORM FOR OUR CLIENT'S INVESTMENT ADMINISTRATION REQUIREMENTS.

CONFLICT OF INTEREST

WEALTHUP, THEIR EMPLOYEES, BUSINESS ASSOCIATES OR EMPLOYEES HAVE CONTRACTUAL RELATIONSHIPS WITH THE COMPANIES AFOREMENTIONED WHO ARE PRODUCT PROVIDERS AND FINANCIAL SERVICES PROVIDERS. DURING THE COURSE OF THE RELATIONSHIP THEY MAY RECEIVE INCONSEQUENTIAL AND EDUCATIONAL BENEFITS FROM THE ABOVE ENTITIES AND/OR PERSONS. A GIFT REGISTER AND CONFLICT OF INTEREST MANAGEMENT POLICY IS AVAILABLE FOR INSPECTION UPON REQUEST.

PROFESSIONAL INDEMNITY

IN ORDER TO PROTECT OUR CLIENTS, WEALTHUP HOLDS PROFESSIONAL INDEMNITY INSURANCE IN THE AMOUNT OF R5 000 000.

COMPLAINTS

IF YOU FEEL THAT YOU HAVE BEEN AGGRIEVED IN ANY WAY, YOU HAVE THE RIGHT TO LODGE A COMPLAINT. A COPY OF THE COMPLAINT PROCESS IS AVAILABLE ON REQUEST.

FPI DECLARATION

I AM A FINANCIAL PLANNER AND A PROFESSIONAL MEMBER OF THE FINANCIAL PLANNING INSTITUTE OF SOUTHERN AFRICA (FPI).

IN ALL MY PROFESSIONAL ENGAGEMENTS, I WILL OBSERVE AND ABIDE BY THE EIGHT PRINCIPLES OF THE CODE OF ETHIS AND PROFESSIONAL RESPONSIBILITY.

I HAVE AND I WILL:

- CONTINUE TO PLACE MY CLIENT'S INTERESTS FIRST
- PROVIDE PROFESSIONAL SERVICES WITH INTEGRITY
- PROVIDE PROFESSIONAL SERVICES OBJECTIVELY
- BE FAIR AND REASONABLE IN ALL PROFESSIONAL RELATIONSHIPS AND DISCLOSE AND MANAGE CONFLICTS OF INTEREST
- ACT IN A MANNER THAT DEMONSTRATES EXEMPLARY PROFESSIONAL CONDUCT
- MAINTAIN THE ABILITIES, SKILLS AND KNOWLEDGE NECESSARY TO PROVIDE PROFESSIONAL SERVICES COMPETENTLY
- PROTECT THE CONFIDENTIALITY OF ALL CLIENT INFORMATION
- PROVIDE PROFESSIONAL SERVICES DILIGENTLY
- FULFIL MY PROFESSIONAL COMMITMENTS PROMPTLY AND THOROUGHLY AND TAKING DUE CARE WHEN PLANNING, SUPERVISING AND DELIVERING PROFESSIONAL SERVICES.

CLIENT SIGNATURE

DATE