



WEALTHUP

ADVISOR PROFILE

LOUIS VAN DER MERWE



WEALTHUP (PTY) LTD

REGISTRATION NUMBER	2011/122459/07
FSB NUMBER	43925
BUSINESS ADDRESS	19 KING STREET, DURBANVILLE, 7550
POSTAL ADDRESS	PO BOX 2373, DURBANVILLE, 7551
TELEPHONE NUMBER	021 300 8056
WEBSITE	WWW.WEALTHUP.CO.ZA
COMPLIANCE OFFICER	LEROUX VAN WYK (ETUDE COMPLAINEE)



LOUIS VAN DER MERWE

TITLE	DIRECTOR
QUALIFICATIONS	B.COM (MANAGEMENT SCIENCES) POST GRADUATE DIPLOMA IN FINANCIAL PLANNING (CUM LAUDE) ADVANCED POSGRADUATE DIPLOMA IN FINANCIAL PLANNING 2018 FINANCIAL PLANNER OF THE YEAR FINALIST CERTIFIED FINANCIAL PLANNER®
EXPERIENCE	SINCE 2009
LEGAL CAPACITY	KEY INDIVIDUAL, REPRESENTATIVE & DIRECTOR
CONTACT NUMBER	021 300 8276 072 202 6830
EMAIL ADDRESS	LOUIS@WEALTHUP.CO.ZA
FPI MEMBERSHIP	104243

THE COMPANY ACCEPTS RESPONSIBILITY FOR THOSE ACTIVITIES OF THE REPRESENTATIVE PERFORMANCE WITHIN THE SCOPE OF THEIR EMPLOYMENT IN TERMS OF THEIR SERVICE AGREEMENT

FAIS CATEGORIES

INSURANCE	LONG TERM INSURANCE CATEGORIES B1, B2, C
PENSION	RETAIL PENSION BENEFITS & PENSION FUND BENEFITS
SECURITIES & INSTRUMENTS	SHARES, MONEY MARKET INSTRUMENTS, DEBENTURES & SECURITISED DEBT, WARRANTS, CERTIFICATES AND OTHER INSTRUMENTS ACKNOWLEDGING DEBT, BONDS, DERIVATIVE INSTRUMENTS
UNIT TRUSTS	COLLECTIVE INVESTMENT SCHEMES
DEPOSITS	LONG TERM & SHORT TERM DEPOSITS
HEALTH SERVICES	MEDICAL AIDS & GAP COVER (SHORT TERM INSURANCE)

ACCREDITATION

WE HAVE WRITTEN MANDATES WITH THE FOLLOWING COMPANIES AND ARE ACCREDITED TO MARKET THE FOLLOWING:

COLLECTIVE INVESTMENT SCHEMES

ALLAN GRAY, NEDGROUP INVESTMENTS, MOMENTUM, GLACIER, SANLAM, CORONATION, INVESTEC, OLD MUTUAL, STANLIB, PPS INVESTMENTS, SYGNIA

LONG TERM INSURANCE

LIBERTY LIFE, BRIGHTROCK, MOMENTUM, DISCOVERY, SANLAM, FMI, HOLLARD, OLD MUTUAL, PPS

MEDICAL AID & GAP COVER

DISCOVERY HEALTH, MOMENTUM HEALTH, BONITAS HEALTH, PROFMED, STRATUM, ZESTLIFE

EMPLOYEE BENEFITS

LIBERTY CORPORATE, SANLAM UMBRELLA FUND, ALLAN GRAY UMBRELLA FUND

SECURITIES AND INSTRUMENTS

INVESTEC SECURITIES

REMUNERATION & SHAREHOLDING

IN TERMS OF SHAREHOLDING, NEITHER THE COMPANY, NOR THE INDEPENDENTS FINANCIAL ADVISOR HOLDS A SHAREHOLDING IN ANY OF THE CONTRACTED PROVIDERS. WE HAVE EARNED MORE THAN 30% OF OUR TOTAL INCOME FROM ALLAN GRAY INVESTMENT SERVICES. WE MAKE USE OF THE ALLAN GRAY INVESTMENT PLATFORM FOR OUR CLIENT'S INVESTMENT ADMINISTRATION REQUIREMENTS.

CONFLICT OF INTEREST

WEALTHUP, THEIR EMPLOYEES, BUSINESS ASSOCIATES OR EMPLOYEES HAVE CONTRACTUAL RELATIONSHIPS WITH THE COMPANIES AFOREMENTIONED WHO ARE PRODUCT PROVIDERS AND FINANCIAL SERVICES PROVIDERS. DURING THE COURSE OF THE RELATIONSHIP THEY MAY RECEIVE INCONSEQUENTIAL AND EDUCATIONAL BENEFITS FROM THE ABOVE ENTITIES AND/OR PERSONS. A GIFT REGISTER AND CONFLICT OF INTEREST MANAGEMENT POLICY IS AVAILABLE FOR INSPECTION UPON REQUEST.

PROFESSIONAL INDEMNITY

IN ORDER TO PROTECT OUR CLIENTS, WEALTHUP HOLDS PROFESSIONAL INDEMNITY INSURANCE IN THE AMOUNT OF R5 000 000.

COMPLAINTS

IF YOU FEEL THAT YOU HAVE BEEN AGGRIEVED IN ANY WAY, YOU HAVE THE RIGHT TO LODGE A COMPLAINT. A COPY OF THE COMPLAINT PROCESS IS AVAILABLE ON REQUEST.

FPI DECLARATION

I AM A CERTIFIED FINANCIAL PLANNER® PROFESSIONAL AND A PROFESSIONAL MEMBER OF THE FINANCIAL PLANNING INSTITUTE OF SOUTHERN AFRICA (FPI).
IN ALL MY PROFESSIONAL ENGAGEMENTS, I WILL OBSERVE AND ABIDE BY THE EIGHT PRINCIPLES OF THE CODE OF ETHIS AND PROFESSIONAL RESPONSIBILITY.

I HAVE AND I WILL:

- CONTINUE TO PLACE MY CLIENT'S INTERESTS FIRST
- PROVIDE PROFESSIONAL SERVICES WITH INTEGRITY
- PROVIDE PROFESSIONAL SERVICES OBJECTIVELY
- BE FAIR AND REASONABLE IN ALL PROFESSIONAL RELATIONSHIPS AND DISCLOSE AND MANAGE CONFLICTS OF INTEREST
- ACT IN A MANNER THAT DEMONSTRATES EXEMPLARY PROFESSIONAL CONDUCT
- MAINTAIN THE ABILITIES, SKILLS AND KNOWLEDGE NECESSARY TO PROVIDE PROFESSIONAL SERVICES COMPETENTLY
- PROTECT THE CONFIDENTIALITY OF ALL CLIENT INFORMATION
- PROVIDE PROFESSIONAL SERVICES DILIGENTLY
- FULFIL MY PROFESSIONAL COMMITMENTS PROMPTLY AND THOROUGHLY AND TAKING DUE CARE WHEN PLANNING, SUPERVISING AND DELIVERING PROFESSIONAL SERVICES.

CLIENT SIGNATURE

DATE