



WEALTHUP

## ADVISOR PROFILE

MARIUS FENWICK



## **WEALTHUP (PTY) LTD**

<b>REGISTRATION NUMBER</b>	2011/122459/07
<b>FSB NUMBER</b>	43925
<b>BUSINESS ADDRESS</b>	19 KING STREET, DURBANVILLE, 7550
<b>POSTAL ADDRESS</b>	PO BOX 2373, DURBANVILLE, 7551
<b>TELEPHONE NUMBER</b>	021 300 8056
<b>WEBSITE</b>	WWW.WEALTHUP.CO.ZA
<b>COMPLIANCE OFFICER</b>	LEROUX VAN WYK (ETUDE COMPLAINEE)



## **MARIUS FENWICK**

<b>TITLE</b>	DIRECTOR
<b>QUALIFICATIONS</b>	POST GRADUATE DIPLOMA IN FINANCIAL PLANNING ADVANCED POSGRADUATE DIPLOMA IN FINANCIAL PLANNING CERTIFIED FINANCIAL PLANNER®
<b>EXPERIENCE</b>	SINCE 1997
<b>LEGAL CAPACITY</b>	KEY INDIVIDUAL, REPRESENTATIVE & DIRECTOR
<b>CONTACT NUMBER</b>	021 300 8276   082 428 1529
<b>EMAIL ADDRESS</b>	MARIUS@WEALTHUP.CO.ZA
<b>FPI MEMBERSHIP</b>	101361

THE COMPANY ACCEPTS RESPONSIBILITY FOR THOSE ACTIVITIES OF THE REPRESENTATIVE PERFORMANCE WITHIN THE SCOPE OF THEIR EMPLOYMENT IN TERMS OF THEIR SERVICE AGREEMENT

## **FAIS CATEGORIES**

<b>INSURANCE</b>	LONG TERM INSURANCE CATEGORIES B1, B2, C
<b>PENSION</b>	RETAIL PENSION BENEFITS & PENSION FUND BENEFITS
<b>SECURITIES &amp; INSTRUMENTS</b>	SHARES, MONEY MARKET INSTRUMENTS, DEBENTURES & SECURITISED DEBT, WARRANTS, CERTIFICATES AND OTHER INSTRUMENTS ACKNOWLEDGING DEBT, BONDS, DERIVATIVE INSTRUMENTS
<b>UNIT TRUSTS</b>	COLLECTIVE INVESTMENT SCHEMES
<b>DEPOSITS</b>	LONG TERM & SHORT TERM DEPOSITS
<b>HEALTH SERVICES</b>	MEDICAL AIDS & GAP COVER (SHORT TERM INSURANCE)

## **ACCREDITATION**

WE HAVE WRITTEN MANDATES WITH THE FOLLOWING COMPANIES AND ARE ACCREDITED TO MARKET THE FOLLOWING:

### **COLLECTIVE INVESTMENT SCHEMES**

ALLAN GRAY, NEDGROUP INVESTMENTS, MOMENTUM, GLACIER, SANLAM, CORONATION, INVESTEC, OLD MUTUAL, STANLIB, PPS INVESTMENTS, SYGNIA

### **LONG TERM INSURANCE**

LIBERTY LIFE, BRIGHTROCK, MOMENTUM, DISCOVERY, SANLAM, FMI, HOLLARD, OLD MUTUAL, PPS

### **MEDICAL AID & GAP COVER**

DISCOVERY HEALTH, MOMENTUM HEALTH, BONITAS HEALTH, PROFMED, STRATUM, ZESTLIFE

### **EMPLOYEE BENEFITS**

LIBERTY CORPORATE, SANLAM UMBRELLA FUND, ALLAN GRAY UMBRELLA FUND

### **SECURITIES AND INSTRUMENTS**

INVESTEC SECURITIES

## **REMUNERATION & SHAREHOLDING**

IN TERMS OF SHAREHOLDING, NEITHER THE COMPANY, NOR THE INDEPENDENTS FINANCIAL ADVISOR HOLDS A SHAREHOLDING IN ANY OF THE CONTRACTED PROVIDERS. WE HAVE EARNED MORE THAN 30% OF OUR TOTAL INCOME FROM ALLAN GRAY INVESTMENT SERVICES. WE MAKE USE OF THE ALLAN GRAY INVESTMENT PLATFORM FOR OUR CLIENT'S INVESTMENT ADMINISTRATION REQUIREMENTS.

## **CONFLICT OF INTEREST**

WEALTHUP, THEIR EMPLOYEES, BUSINESS ASSOCIATES OR EMPLOYEES HAVE CONTRACTUAL RELATIONSHIPS WITH THE COMPANIES AFOREMENTIONED WHO ARE PRODUCT PROVIDERS AND FINANCIAL SERVICES PROVIDERS. DURING THE COURSE OF THE RELATIONSHIP THEY MAY RECEIVE INCONSEQUENTIAL AND EDUCATIONAL BENEFITS FROM THE ABOVE ENTITIES AND/OR PERSONS. A GIFT REGISTER AND CONFLICT OF INTEREST MANAGEMENT POLICY IS AVAILABLE FOR INSPECTION UPON REQUEST.

## **PROFESSIONAL INDEMNITY**

IN ORDER TO PROTECT OUR CLIENTS, WEALTHUP HOLDS PROFESSIONAL INDEMNITY INSURANCE IN THE AMOUNT OF R5 000 000.

## **COMPLAINTS**

IF YOU FEEL THAT YOU HAVE BEEN AGGRIEVED IN ANY WAY, YOU HAVE THE RIGHT TO LODGE A COMPLAINT. A COPY OF THE COMPLAINT PROCESS IS AVAILABLE ON REQUEST.

## **FPI DECLARATION**

I AM A CERTIFIED FINANCIAL PLANNER® PROFESSIONAL AND A PROFESSIONAL MEMBER OF THE FINANCIAL PLANNING INSTITUTE OF SOUTHERN AFRICA (FPI).  
IN ALL MY PROFESSIONAL ENGAGEMENTS, I WILL OBSERVE AND ABIDE BY THE EIGHT PRINCIPLES OF THE CODE OF ETHICS AND PROFESSIONAL RESPONSIBILITY.

I HAVE AND I WILL:

- CONTINUE TO PLACE MY CLIENT'S INTERESTS FIRST
- PROVIDE PROFESSIONAL SERVICES WITH INTEGRITY
- PROVIDE PROFESSIONAL SERVICES OBJECTIVELY
- BE FAIR AND REASONABLE IN ALL PROFESSIONAL RELATIONSHIPS AND DISCLOSE AND MANAGE CONFLICTS OF INTEREST
- ACT IN A MANNER THAT DEMONSTRATES EXEMPLARY PROFESSIONAL CONDUCT
- MAINTAIN THE ABILITIES, SKILLS AND KNOWLEDGE NECESSARY TO PROVIDE PROFESSIONAL SERVICES COMPETENTLY
- PROTECT THE CONFIDENTIALITY OF ALL CLIENT INFORMATION
- PROVIDE PROFESSIONAL SERVICES DILIGENTLY
- FULFIL MY PROFESSIONAL COMMITMENTS PROMPTLY AND THOROUGHLY AND TAKING DUE CARE WHEN PLANNING, SUPERVISING AND DELIVERING PROFESSIONAL SERVICES.

---

CLIENT SIGNATURE

---

DATE